



PATENT DOCKET

Loc Date 06/01/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Solomon Friedman      **EXAMINER:** Unknown  
**SERIAL NO.:** 09/854,307      **ART UNIT:** Unknown  
**FILED:** May 11, 2001  
**FOR:** **SYSTEM, METHOD AND APPARATUS FOR  
ESTABLISHING PRIVACY IN INTERNET  
TRANSACTIONS AND COMMUNICATIONS**

**STATEMENT OF FILING BY EXPRESS MAIL 37 C.F.R. § 1.10**

This correspondence is being deposited with the United States Postal Service on June 14, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EK 908 124 819 US addressed to the Honorable Commissioner for Patents, Washington, D.C. 20231.

**INFORMATION DISCLOSURE STATEMENT**

**Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231**

**Sir:**

This Information Disclosure Statement (hereinafter sometimes referred to as "IDS") is submitted in accordance with 37 C.F.R. §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 C.F.R. §1.97, as it is filed:

(Check one of the boxes opposite A-D)

☐ A. within three months of the filing date of the above-identified national application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in the above-identified international application.

☒ B. before the mailing date of a first office action on the merits.

☐ C. after the times set forth in (A) and (B) above, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a notice of allowance under 37 C.F.R. §1.311, and the necessary statement pursuant to 37 C.F.R. §1.97(e) appears below (see box "i") or the necessary fee is enclosed (see box "ii" below).

(check one of the boxes opposite "i" or "ii" below)

☐ i. (check the applicable statement)

☐ I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ I, the undersigned, hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☐ ii. A check for the fee set forth in 37 C.F.R. §1.17(p), presently believed to be \$ 240.00, is enclosed.

☐ D. after the times set forth in (A), (B), and (C) above, but before payment of the issue fee, since Applicant petitions for the consideration of this Information Disclosure Statement by a petition enclosed herewith pursuant to 37 C.F.R. §1.97(d)(2), a check for the petition fee set forth in 37 C.F.R. §1.17(i), presently believed to be \$ 130.00, is enclosed, and the necessary statement pursuant to 37 C.F.R. §1.97(e) appears below:

(check the applicable statement)

☐ I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ I, the undersigned, hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

2. In accordance with 37 C.F.R. §1.98(a)(1), this IDS includes a list on form PTO-1449 of all patents, publications, or other information submitted for consideration by the Office, incorporated into this IDS as an attachment hereto. Pursuant to 37 C.F.R. §1.98(b): (i) each U.S. patent listed is identified by patentee, patent number, and issue date; (ii) each foreign patent or published foreign patent application is identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application; and (iii) each publication is identified by author (if any), title, relevant pages of the publication, date, and place of publication. A legible copy of each document listed is attached and incorporated into this IDS, except that no copy of any U.S. patent application is included pursuant to 37 C.F.R. §1.98(a)(2)(iii) and except as explained below.

(check the boxes opposite A and/or B and fill in blanks, if appropriate)

☐ A. Document(s) \_\_\_\_\_ is (are) substantively cumulative to document(s) \_\_\_\_\_, and, in accordance with 37 C.F.R. §1.98(c), only a copy of each of the latter document(s) is (are) attached.

☐ B. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon for an earlier filing date under 35 U.S.C. §120:

[insert serial numbers and filing dates of prior applications]

These documents are identified by attaching hereto copies of the forms PTO-892 and/or PTO-1449 from the files of the prior application(s) and Applicant requests that they be considered and made of record in this application in accordance with 37 C.F.R. §1.98(d). Pursuant to 37 C.F.R. §1.98(d), copies of these documents need not be provided with this IDS.

(check paragraphs 3 and/or 4, if applicable)

☐ 3. Document(s) \_\_\_\_\_ is (are) not in the English language. In accordance with 37 C.F.R. §§1.98(a)(3), 1.98(c), it is stated that:

(check the applicable statement(s))

☐ English translation(s) of the document(s) \_\_\_\_\_ (or of the pertinent portions thereof) is (are) enclosed and, thus no concise explanation of their relevance is required, (see M.P.E.P., §609, p. 600-92).

☐ English-language equivalent(s) of the document(s) \_\_\_\_\_ (or of the pertinent portions thereof) which is (are) in fact translation(s) of the document(s) is (are) enclosed.

☐ The attached English-language version of a search report or other action by a foreign patent office in a counterpart foreign application which cites document(s) \_\_\_\_\_ and which indicates the degree of relevance found by the foreign office is submitted to satisfy the requirement for a concise explanation of the relevance of the document(s), (see M.P.E.P., §609, p. 600-93).

☐ A concise explanation of the relevance of document(s) \_\_\_\_\_ is set forth as follows:

[insert concise explanation of relevance]

☐ A concise explanation of the relevance of document(s) \_\_\_\_\_ can be found on page(s) \_\_\_\_\_ of the specification.

☐ A concise explanation of the relevance of document(s) \_\_\_\_\_ can be found on the attached sheet.

☐ 4. Other information being provided for the Examiner's consideration follows:

[insert other information]

5. In accordance with 37 C.F.R. §§1.97(g), 1.97(h), the filing of this IDS shall not be construed as a representation that a search has been made or as an admission that information cited in this IDS is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

6. Please charge any deficiency or other fees or credit any refund or overpayment to Deposit Account No. 01-0035.

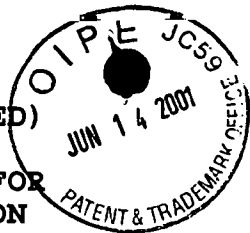
Respectfully submitted,

A handwritten signature in cursive script, reading "Thomas E. Spath". The signature is written in dark ink and is positioned above a horizontal line.

Thomas E. Spath  
Attorney for Applicant  
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FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Atty Docket No: 204,896  
 Serial No: 09/844,307  
 Applicant: Solomon Friedman  
 Filing Date: May 11, 2001  
 Group:

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

## EXAMINER

## FILING DATE

INITIAL	DOCUMENT NO	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE
	5,007,084	09 APR 1991	Materna, et al.	380/24	
	5,406,619	11 APR 1995	Akhteruzzaman	340/95	
	5,485,510	16 JAN 1996	Colbert	379/45	
	5,530,438	25 JUN 1996	Bickham et al.	240/825	
	5,615,119	25 MAR 1997	Wong	395/238	
	5,636,282	03 JUN 1997	Holmquist et al.	380/25	
	5,671,279	23 SEP 1997	Elgamal	380/23	
	5,5727,163	10 MAR 1998	Bezos	395/227	
	5,757,917	26 May 1998	Rose et al.	380/25	
	5,778,173	07 JUL 1998	Apte	395/187.01	
	5,882,737	13 OCT 1998	Ogram	705/26	
	6,012,098	04 JAN 2000	Bayeh et al.	709/246	
	6,069,588	30 MAY 2000	O'Neill, Jr.	343/713	
	6,098,056	01 AUG 2000	Rusnak et al.	705/75	
	6,185,683 B1	06 FEB 2001	Giner et al.	713/176	
	6,195,542	27 FEB 2001	Griffith	455/406	

## FOREIGN PATENT DOCUMENTS

## EXAMINER

## TRANSLATION

INITIAL	DOCUMENT NO	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO
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## OTHER DOCUMENTS

## EXAMINER

INITIAL	REF NO	AUTHOR, TITLE,	DATE	PERTINENT PAGES, ETC.
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Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.